pyDEM: Global Digital Elevation Model Analysis

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https://www.youtube.com/watch?v=bGulPZh_-Mo

Abstract—Hydrological terrain analysis is important for applications such as environmental resource, agriculture, and flood risk management. It is based on processing of high-resolution, tiled digital elevation model (DEM) data for geographic regions of interest. A major challenge in global hydrological terrain analysis is addressing cross-tile dependencies that arise from the tiled nature of the underlying DEM data, which is too large to hold in memory as a single array. We are not aware of existing tools that can accurately and efficiently perform global terrain analysis within current memory and computational constraints. We solved this problem by implementing a new algorithm in Python, which uses a simple but robust file-based locking mechanism to coordinate the work flow between an arbitrary number of independent processes operating on separate DEM tiles.

We used this system to analyze the conterminous US's terrain at 1 arc-second resolution in under 3 days on a single compute node, and global terrain at 3 arc-second resolution in under 4 days. Our solution is implemented and made available as pyDEM, an open source Python/Cython library that enables global geospatial terrain analysis. We will describe our algorithm for calculating various terrain analysis parameters of interest, our file-based locking mechanism to coordinate the work between processors, and optimization using Cython. We will demonstrate pyDEM on a few example test cases, as well as real DEM data.

Index Terms—digital elevation model, hydrology, terrain analysis, topographic wetness index

Introduction

The aspect (or flow direction), magnitude of the slope, upstream contributing area (UCA), and topographic wetness index (TWI), shown in Figure 1, are important quantities in hydrological terrain analysis. These quantities are used to determine, for example, the flow path of water, sediment, and pollutants for applications in environmental resource, agricultural, and flood risk management. These quantities are calculated from gridded digital elevation models (DEM), which describe the topography of a region. DEMs are often stored as raster arrays, where the value of an element in the array gives the elevation of that point (usually in meters). The (i, j) coordinates of the array are also related to (latitude, longitude) coordinates through a geotransform. The aspect is calculated from DEM data and gives the angle (in radians) at each element. The aspect is important for determining the direction that water will flow, and is also important for solar radiation (for example, a north-facing slope is more shaded than a south-facing slope in the northern hemisphere). The slope (meters / meters) can also be calculated, and gives the change in elevation over the change in horizontal distance, quantifying the steepness of the topography. UCA captures the effect of water draining down a slope along particular routes by keeping track of the amount of runoff that is funneled through a point. UCA is defined as the total horizontal area that is upslope of a point or contour [moore91], and unlike aspect and slope, the UCA at each element depends on more than just the immediately surrounding, or adjacent elements in the array. TWI (κ) is derived from UCA (a) and slope (tan β), where κ = ln (a / tan β), and was developed by Beven and Kirkby [beven79] within the runoff model TOPMODEL (see [beven95]). TWI represents the steady-state soil moisture due to topographic effects. Regions with large TWI (flat slopes with large UCA) are generally wetter than regions with small TWI (steep slopes and small UCA).

With the improving availability of large, high quality DEM data, the hydrology of increasingly large regions can be analyzed. For example, in the US, the National Elevation Dataset provides 1 × 1 arc second (approximately 30 × 30 m) resolution DEM data for the conterminous US. This data is available as over 3500 files spanning 1° × 1° latitude × longitude with over 3600 × 3600 pixels per file for 45 gigapixels of data. Analyzing such large data-sets presents unique challenges including:

• Accurately handling grid projections where the data is non-uniformly spaced
• Robustly dealing with no-data and flat regions
• Efficiently calculating the required quantities
• Breaking data up into computable tiles and dealing with the resulting edge effects (see Figure 2).

DEM datasets can be supplied in various coordinate frames where the data is not uniformly spaced. Over large regions and higher latitudes, assuming that the data is uniformly spaced can result in large errors, and accurate algorithms need to take into account this grid anisotropy. Additionally, DEM data often contains no-data values where the elevation could not be determined because of noise in the raw data or other effects. DEM data can also contain regions where the elevation appears to be flat, that is, there is no change in elevation from one pixel to the next. In that case, the aspect is not defined, and the slope is zero, which leads to an undefined TWI. These situations need to be dealt with robustly in order to successfully and automatically process large data-sets. The size of these data-sets can also make analysis intractable because of limited computational resources and slow algorithms. Finally, the discrete nature of the tiles can result in edge effects. Figure 2 shows an example of UCA calculated with
Fig. 1: pyDEM calculates the aspect, slope, upstream contributing area (UCA), and topographic wetness index (TWI) from digital elevation model data. The aspect and slope are calculated directly from the elevation data, the UCA is calculated from the aspect, and the TWI is calculated from the UCA and the slope.

Fig. 2: pyDEM can correctly follow the UCA calculation across tile boundaries.

Algorithm Design

To calculate the aspect and slope, pyDEM uses the D∞ method [tarboton97]. This method calculates the aspect and slope based on an 8-point stencil around a pixel. The UCA is calculated from the aspect, and it requires more than just an 8-point stencil around a pixel. In Tarboton 1997, a recursive algorithm to calculate the UCA is also presented, but we developed a new algorithm that handles no-data and flat areas differently, while also allowing area updates based on new information at edges of the tile. The recursive algorithm [tarboton97] starts at down-slope pixels and recursively calculates its up-slope area. Our algorithm follows the opposite strategy, and starts at up-slope pixels, then progressively calculates the UCA of down-slope pixels. Next, we will describe the main data-structure used for our approach, then present pseudo-code for the basic algorithm, describe modifications needed to update edges, and explain modifications to deal with flats.

Data Structures: The main data-structure used by the UCA algorithm is an adjacency (or connectivity) matrix, A. For the example in Figure 3 (top), we have a 3 × 3 elevation array with a total of 9 pixels. Each row in matrix A (Figure 3, bottom) represents a pixel in the raster array that receives an area contribution from another pixel. The columns represents the pixels that drain into a pixel represented as a row. The value in row i column j represent the fraction of pixel j’s area that drains into pixel i. For example, pixel 6 drains completely into pixel 7, so A_{7,6} = 1.0. On the other hand, only 30% of the area in pixel 0 drains into pixel 3, so A_{3,0} = 0.3.

The algorithm also requires a data structure: ac_pix to keep track of the “active pixels” which can be computed, ac_pix_old to record which pixels were computed last round, done to mark which pixels have finished their computations, and uca to contain the UCA for each pixel. The ac_pix vector is initialized by summing over the columns of A to select pixels that do not receive an area contribution from another pixel. This would happen for
pixels at the top of mountains or hills, where the surrounding elevation is lower, and on pixels on the edges of tiles that do not receive contributions from the interior. The indices ac_pix of these pixels are stored in a boolean array.

Algorithm: The pseudo-code for our algorithm is given below using Python syntax. Lines 1-5 initialize the working data-structures, and assumes that the adjacency matrix was constructed and elevation_data is an array with the shape of the raster DEM data. The UCA should be initialized with the geographic area of a tile, but for simplicity consider \(1 \times 1\) pixels. The calculation is iterative and the exit condition on line 7 ensures that the loop will terminate, even if there are circular dependencies. Circular dependencies should not occur for usual DEM data, but for robustness (in the face of randomly distributed no-data values) this exit condition was chosen.

If a pixel is marked as active, its area will be distributed downstream, executing lines 15-25. The column of the active pixel is looped over, and the fraction of the area in each row is distributed to the pixel in that row of the adjacency matrix. For example, in Figure 3, pixel 0 will be marked as active in the first loop (sum of elements in the row is zero). Line 17 will then update uca[3] and uca[4] with \(f=0.3\) and \(0.7\) times the area in pixel 0, respectively.

Next, lines 21-25 will check to see if the pixel just drained into is ready to become active. A pixel is allowed to become active once it has received all of its upstream area contributions. This condition for becoming active is crucial for preventing double-counting. Continuing the example, once uca[3] was updated with the contribution from pixel 0, we will loop through the entries of A in row 3. If the entry is non-zero and not marked as done, we know that pixel 3 will receive a contribution from an upstream pixel in a later round. In our example, pixel 0 is the only upstream pixel for pixel 3, and it is done. So, in the next round we can drain from pixel 3.

In the worst case, this algorithm appears to be \(O(n^4)\), where \(n\) is the number of elements in the DEM array. Each of the loops, the while and three for loops all could be executed \(n\) times. In practice, the sparsity of A can be exploited to obtain an algorithm close to \(O(n)\) (see the optimization section).

```python
# Initialize
ac_pix = A.sum(1) == 0
ac_pix_old = zeros_like(ac_pix)
done = zeros_like(ac_pix)
ucm = ones(elevation_data.shape)  # Approximately

while any(ac_pix != ac_pix_old):
    done[ac_pix] = True
    ac_pix_old = ac_pix.copy()
    ac_pix[:] = False
    for i in range(ac_pix.size):
        if ac_pix[i] is False:
            continue  # to next i. Otherwise...
            for j, f1 in enumerate(A[:, i]):
                # Update area
                uca[j] += uca[i] * f1

            # Determine if pixel is done
            if not done[k] and f2:
                break
            else:
                # Drain this pixel next round
                ac_pix[j] = 1
```

Modification for Edges Update: A fortunate aspect of the UCA calculation is its linearity, which lends itself well to the principle of superposition. That is, the UCA within a tile can be calculated and later adjusted with new contributions from the edges. In our Figure 3 example, we have a single DEM tile, but this tile might be one of many tiles. Considering only this one tile, we can calculate pixel 0’s area contribution to the other pixels within a tile, but we do not know if pixel 0 is on a ridge, or if there is another pixel that would drain into it from another tile in the data-set. Similarly, pixel 8 might need to drain its area downstream to pixels in a downstream tile in the data-set. Ultimately, there will be a tile that has the most up-slope pixel, which has no edge dependencies. Similarly, for realistic data, the UCA of most pixels within a tile does not depend on the edge. Consider Figure 2 which shows that the difference in UCA between the tiles does not extend far past the edge, which indicates that the UCA calculation is relatively local, except for rivers. This means that the edge update can be efficient: we only have to update pixels near the edges, and rivers. Since rivers have a proportionally much smaller area, the edge update requires much fewer computations compared to the initial UCA calculation for a tile.

Our strategy of starting at the up-slope pixels and contributing...
area to down-slope pixels is a key algorithmic choice to allow for the edge correction. Edge pixels that receive area contributions from neighboring tiles always need to distribute that area down-slope. It may be possible for every interior pixel to calculate and store its edge dependencies using the recursive strategy that starts at down-slope pixels, but in the worst case, each of these pixels will need to store its dependency on every edge pixel. This results in a large storage structure, or a complex one that compresses the information. Alternatively, every pixel will need to be recalculated for every edge correction. With our strategy of starting with up-slope pixels, only the interior pixels that are affected by information from the edge needs to be recalculated.

To handle edges, the major modifications to the basic algorithm are: initializing the active pixels (ac_pix) based on edge information/dependencies, initializing the done pixels, and adding data-structures to keep track of edge dependencies. The main challenge is careful bookkeeping to ensure that edge information is communicated to neighboring tiles. pyDEM does this bookkeeping both within a tile, which can be broken up into multiple chunks, and across tiles, which is described in greater detail under the Parallel Processing section.

**Modification for Flats:** pyDEM considers no-data regions to also be flats. To handle flats, a small adjustment is made to the adjacency matrix. Without modification, the adjacency matrix will allow pixels next to flats to drain their area contributions into the flat, but these contributions never leave. The adjacency matrix is adjusted by adding the black and green arrows depicted in Figure 4. The total area contributions that drain into a flat are collected, for convenience, at a random point within the flat (black arrows). This total area contribution to the flat is then proportionally distributed to pixels at the edge of a flat. The proportions depend on the difference in elevation of the pixels around the flat. The pixel with the lowest elevation around the flat always receives a distribution. If a pixel’s elevation satisfies $e_{\text{local}} < \min(e_{\text{local}}) + \sqrt{2} \Delta x$, where $e_{\text{local}}$ is the pixel’s elevation, $e_{\text{local}}$ are the elevations of the pixels around the flat and $\Delta x$ is the approximate grid spacing, then it is also included in the area distribution. This relationship comes from a Taylor series expansion of the grid discretization error, and the $\sqrt{2}$ appears because the maximum error occurs along the diagonal direction. The proportion of the distribution is calculated as $p = \frac{e_{\text{flat}} - e_{\text{local}}}{\sum(e_{\text{flat}} - e_{\text{local}})}$, where $e_{\text{flat}}$ is the elevation of the flat. This distributes the UCA evenly to pixels with the same elevation surrounding the flat, or slightly more to pixels with a lower elevation (within the calculated error tolerance).

**Parallel Processing**

The majority of the processing on a tile can be done independent of every other tile. This means it is simple to spawn multiple processes on a machine or cluster to churn through a large number of elevation tiles. There are various packages that automate this process. However, in our case, the edge correction step cannot be done efficiently on a tile-by-tile basis, so existing packages did not meet our needs.

The calculation proceeds in three stages. In the first stage, the tile-local quantities, aspect and slope, are calculated in parallel. Then the first pass UCA calculation is performed in parallel, where the initial edge data is written to files. Finally, the UCA is corrected in parallel to eliminate edge effects. This final stage does have an order-dependency, and the parallelism is not as efficient. In each of these stages, separate pyDEM processes can be launched. If a process terminates unexpectedly, it does not affect the remaining processes.

In order to prevent multiple processes from working on the same file, a simple file locking mechanism is used. When a process claims a DEM tile, it creates an empty .lck file with the same name as the elevation file. Subsequent processes will then skip over this file and sequentially process the next available DEM tile. Once a process is finished with a DEM tile, the .lck file is removed. Subsequent processes also check to see if the outputs are already present, in which case it will also skip that DEM tile, moving on to the next available file. This works well for the first two stages of the processing, although future implementations plan to use a cross-platform operating-system-level file locking package such as lockfile.

In the second and third stages, numpy’s .npz format is used to save files which communicate edge information. The following three files are saved for every edge of a tile after calculating the UCA:

1) the current UCA value at each pixel on the edge,
2) whether the UCA calculation on the edge pixel is done, and does not still depend on information from neighboring tiles,
3) whether the edge needs to receive information from neighboring tiles and has not yet received it.

The first two quantities are populated by neighboring tiles, while the last quantity is self-calculated by a tile. That is, after calculating the UCA, a tile will set the pixel value and whether an edge is done on its neighbors, and update whether an edge needs information on its own edge data file. To explain why this is needed, the second and third quantities are illustrated in Figure 5. The first row represents three DEM tiles with edges in the state after the second calculation stage. The left tile is at the top of a hill, and all of its edges contribute area downstream. This tile does not expect any information to enter from adjacent tiles, so it sets the "to do" value (third quantity) on its edges as False. The left tile also communicates to the middle tile that this edge is "done" and can be calculated next round. Still on the top row, the middle tile determines that area will enter from the left edge, and sets the "to do" value on its left edge as True. Following this dependency along, it calculates that none of its other edges are
done, and communicates this to the tile on the right. The second row in Figure 5 shows what happens during the first round of stage 3. In the first round, the middle tile is selected and the UCA is updated. Since it received finished edge data from the left tile, it now marks the left edge’s “to do” status as False, and propagates the updated area through the tile. It communicates this information to the right tile, which will be updated in subsequent rounds in the stage 3 calculation. Note that the calculation on the right tile could not proceed until the left tile was calculated, which means that this computation had to be performed serially and could not be parallelized.

In the example illustrated in Figure 5, the middle tile only needed one correction. However, in general a tile may require multiple corrections. This can happen when a river meanders between two tiles, crossing the tile edge multiple times. In this case, the two adjacent tiles will be updated sequentially and multiple times to fully correct the UCA. This situation is specifically tested in the bottom left (a-1) test-case in Figure 6. There the water flow path spirals across multiple tiles multiple times. At each crossing, the UCA needs to be corrected.

During each round of the second stage, we heuristically select the best tile to correct first. This best tile is selected by looking at what percentage of edge pixels on that tile will be done after the correction. In the case of ties, the tile with the higher maximum elevation is used. In case another process is already using that tile, the next best tile is selected. As such, the calculation proceeds in a semi-parallel fashion for large data-sets.

**Optimization**

The first implementation of the UCA algorithm was much more vectorized than the code presented above. This pure-Python vectorized version aimed to take advantage of the underlying libraries used by numpy and scipy. However, this earlier version of the algorithm was not efficient enough to analyze a large data-set using a single compute node. The analysis would have taken over a year using 32 CPU cores.

Initial attempts to re-write the algorithm in Cython were not fruitful, only yielding minor speed improvements. The primary issue causing the poor performance was the adjacency matrix A. This matrix was stored as a sparse array, because it had very few entries. The initial Python and Cython implementations used scipy’s underlying sparse matrix implementation, along with linear algebra operations to perform the calculations. These implementations failed to use the underlying sparse matrix storage structure to their full advantage.

Consequently, we re-implemented the algorithm with the adjacency matrix was stored in both the Compressed Sparse Column (CSC) and Compressed Sparse Row (CSR) formats. The CSC format stores three arrays: data, row_ind, and col_ptr. The data stores the actual floating point values of the elements in the array, while the row_ind stores the row number of the data in each column (same size as data), and col_ptr stores the locations in the data vector that start a new column (size is 1 + the number of columns, where the last entry in col_ptr is the total number of data elements). For example, the A in Figure 3 is stored in CSC as:

\[
\begin{align*}
\text{data} & = [0.3, 0.7, 1.0, 1.0, 0.4, 0.6, 1.0, 1.0, 1.0] \\
\text{row_ind} & = [3, 4, 4, 5, 4, 5, 8, 8, 7, 8] \\
\text{col_ptr} & = [0, 2, 3, 4, 5, 7, 8, 9, 10, 10]
\end{align*}
\]

The CSR format, which stores col_ind, row_ptr, and a re-arranged vector instead, is more computationally efficient for some aspects of the algorithm, which is why both formats are used.

In particular, looping over the rows for a specific column in A to update the UCA (lines 15-17 of algorithm) can be efficiently done using the CSC format. Determining if a pixel is done, which loops over the columns for a specific row in A (lines 19-25) can be efficiently done using the CSR format.

Nested for loops in Python are generally known to be inefficient and was not implemented. The Cython implementation yielded excellent results, giving approximately a 3× speed-up for smaller problems, and a 1000× speedup for larger problems. These numbers are approximate because the actual values are highly dependent on the DEM data.

The computational complexity for this improved implementation is \(O(n^2)\). The for loop on line 12 will continue past lines 13-14 only \(n^2\) times, regardless of how many times the while loop is executed. Since each pixel can only drain to two neighbors, the for loop in line 15 only loops over 2 elements when using CSC. The for loop in line 20 only loops over a maximum of 8 elements for non-flats (since a pixel can only receive contributions from 8 neighboring pixels) when using CSR. While additional optimization is potentially possible, the present implementation efficiently computes the UCA.

**Applications**

To verify that pyDEM’s core algorithms work as expected, a collection of test cases were created, and a subset is shown in Figure 6. pyDEM was also used to calculate TWI for the conterminous US. Next we will describe the purpose and results of each of the test cases, and then we will present the results over the conterminous US.

To ensure that the [tarboton97] \(D^\infty\) method was correctly implemented, we created a number of linearly sloping elevations to test each quadrant of the 8-element stencil used for the slope and magnitude calculation (Figure 6 a-1, b-1, b-2). All of the possible angles are tested in the a-3 case. Notice that the TWI is higher along the diagonals of this case, and this is an artifact of the \(D^\infty\) method which is expected to be small for real DEM data.
To verify that pyDEM’s core algorithms work as expected, a collected of test elevations (top) were created to cover anticipated issues in calculating TWI (bottom). This shows that TWI is correctly calculated. In particular, TWI is larger where the elevation is lower (as expected), it is evenly distributed around flats (2nd and 3rd rows, 3rd column), and it is concentrated in rivers or outlets (4th column).

The c-1 case was used to test the third stage of processing, the edge correction stage. This is a challenging case because the drainage pattern is a spiral that crosses a single tile boundary multiple times. Without the edge correction, the UCA builds up in channels along a tile, but never reach the full value required (see Figure 7 right). Figure 7 also shows that pyDEM’s edge correction algorithms are working correctly. The left UCA calculation is performed on a single tile using tauDEM, and it does not need edge corrections from adjoining tiles. The middle UCA calculation is performed using pyDEM over chunks of elevation sections forming a 7 by 7 grid. For this middle calculation, 316 rounds of the stage 3 edge correction was performed in serial, which means that every tile required multiple corrections as new information became available on the edges. Except for the edge pixels, the tauDEM and pyDEM results agree to withing 0.02%, which is reasonable considering how different the algorithms are.

pyDEM was also verified against tauDEM using all of the above test cases (not shown). In all cases without flats the results agreed as well as in the spiral case. For the cases with flats, tauDEM and pyDEM do not agree because they treat flat regions differently. Also, for cases with non-uniform grids, tauDEM and pyDEM do not agree. To illustrate the difference, consider the case of a conical topography with some added noise. On a uniform grid, the tauDEM and pyDEM solutions agree very well (Figure 8): the difference between the two UCA calculations is on the order of $10^{-7}$, which is excellent given the vast differences between the UCA algorithms. However, Figure 9 shows that on a non-uniform grid only pyDEM correctly captures the shape of the geometry (note that the diagonal artifacts are from the $D_\infty$ method). This is because pyDEM does not assume that the DEM data is uniformly gridded, but takes into account the geospatial coordinates when calculating the Aspect using the $D_\infty$ method.

Finally, to verify that pyDEM is efficient, robust, and accurate for real data-sets, we calculated TWI over the conterminous US (Figure 10). In the figure, the spurious black areas are due to the interpolation of no data-values of our geoTiff viewer. The full calculation took approximately 3 days on a 32 core AWS compute node. Figure 2 (left) shows the UCA for a small region in Austin, TX from this calculation.

### Summary

To solve our problem of analyzing the hydrology of large DEM data-sets spanning national and global scales, we designed, implemented, optimized, parallelized, and tested a new Python package, pyDEM. pyDEM implements the $D_\infty$ method [tarboton97] to calculate the aspect and slope, and it uses a novel algorithm to calculate the upstream contributing area.

pyDEM enables the efficient, accurate, and robust analysis of large data-sets, while correcting for edge effects. pyDEM has been tested and agrees well with tauDEM.

### Availability

The pyDEM package is available from the Python package index or through `pip install pydem`. Note this package is still in alpha and has not been tested on a wide range of operating systems. The source code is also hosted on GitHub (https://github.com/creare-com/pydem), and is free to modify, change, and improve under the Apache 2.0 license.

### Acknowledgments

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### REFERENCES


Fig. 7: UCA for the spiral test case calculated over a single tile (left), multiple tiles with edge correction (middle) and multiple tiles without edge correction (right).

Fig. 8: For a noisy cone (left), the UCA calculated using pyDEM (middle) and tauDEM (right) agree well when the DEM data is on a uniform grid.

Fig. 9: For a noisy cone (left), the UCA calculated using pyDEM (middle) and tauDEM (right) do not agree well when the DEM data is on a non-uniform grid. pyDEM correctly captures the shape of the geometry.


Fig. 10: To verify pyDEM’s performance over a large data set, TWI was calculated for the 1 arc-second resolution US National Elevation Database (shown with hill-shading overlay) and 3 arc-second SRTM globally (shown in inset).